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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/912,814	07/24/2001	Luc Haumonte	P137US1	7145
75172 Client 21058 c/o DARBY & DARBY P.C. P.O. BOX 770 CHURCH STREET STATION NEW YORK, NY 10008-0770				
EXAMINER				
MEW, KEVIN D				
ART UNIT		PAPER NUMBER		
2416				
MAIL DATE		DELIVERY MODE		
10/16/2008		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**

09/912,814

**Applicant(s)**

HAUMONTE ET AL.

**Examiner**

Kevin Mew

**Art Unit**

2416

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 27 June 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-9 and 11-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9 and 11-29 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
- Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)
- Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

*Detailed Action*

*Response to Amendment*

1. Applicant's Remarks/Arguments filed on 6/27/2008 have been considered. Claims 10, 30-31 have been cancelled by applicant. Claims 1-9, 11-29 are currently pending.
2. Acknowledgement is made of the amended claims 1, 11-18, 21-22, 28-29 with respect to the claim objections set forth in the previous Office action. The corrections are acceptable and the claim objections have been withdrawn.

*Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-3, 12-13, 17-24, 26-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ward et al. (USP 5,701,294) in view of Tong et al. (US Publication 2001/0038630 A1), and in further view of Moisio (US Publication 2003/0054829).

Regarding claims 1, 22, 31, Ward discloses system to perform a method of wirelessly transmitting voice over a plurality of carriers (**radio channels**, col. 3, lines 57-67) between a base station and a plurality of users (**between the base station and a plurality of users**, col. 3, lines 39-52), the method comprising:

determining a transmission link quality between a user and the base station (**monitors radio channel quality both on an uplink and on a downlink between a mobile station and a serving base station in order to optimize the voice quality for the measured conditions**, see col. 3, lines 39-67);

assigning a class type to the user (**selecting a combination type**, Fig. 6 and col. 7, lines 24-43) based upon the transmission link quality (**combination type is selected based on radio channel quality such as C/I carrier-to-interference ratio**, see col. 7, lines 24-43); and

adjusting a number of subcarriers (**dynamically changing the voice quality of the plurality of radio channels by selecting the optimum combination type**, see col. 6, lines 46-60; note that each radio channel corresponds to a carrier) and one or more of timeslots, modulation rate, coding rate and transmit power allocated (**dynamically adapts the number of time slots allocated to each radio channel**, see col. 4, lines 9-16).

Ward does not explicitly show the multicarrier communication signal and selecting sub-carrier(s) of the one or more sub-carriers comprising the multi-carrier communication signal for transmission with the user based upon the class type.

However, Tong discloses a wireless TDMA system transmitting a multi-carrier signal that comprises multiple carriers, with each carrier corresponds to a forward radio channel F-CH (**Carrier-1, Carrier-2, Carrier-3**, see paragraph 0033 and Fig. 1B). Tong further discloses selecting a carrier or a number of carriers to service user terminals such that it will place voice communications on one carrier and data communications on other carriers based on the classes of service and data rates required (see paragraphs 0035, 0036, 0041, 0042, 0045).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the wireless communication system of Ward with the teaching of Tong in selecting carrier(s) for transmission between a base station and mobile users in accordance based on the classes of services and data rates required such that Ward will transmit the multicarrier communication signal and select sub-carrier(s) of the one or more sub-carriers comprising the multi-carrier communication signal for transmission with the user based upon the class type.

The motivation to do so is to optimize the operation of the cell/sector serviced by the carriers by meeting the minimum grades of service for the users and maximizing the multi-carrier throughput.

The combined method of Ward and Tong discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above, except may not explicitly show the method of claim 1, further comprising:

communicating the class type of the user to a MAC scheduler;

the MAC scheduler scheduling all transmission between the base station and the user by assigning transmission frequency slots and transmission time slots to the user, wherein a number of frequency slots assigned to the user per time slot is based on the class type of the user.

However, Moisio discloses time slots and frequency will be assigned (assigning frequency slots and time slots) to users based on different channel quality classifications and priorities of users (based on class type of users, see paragraph 0035 and Table 1, paragraph 0035).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of Moisio in assigning different time slots and frequency to users based on different quality classifications and priorities of users such that a scheduling mechanism (quality classification of users) will be used to allocate frequency and time slots to users which is based on the class type of the user.

The motivation to do so is to assigning different channels to users in accordance with the priority and quality classification of users.

Regarding claim 2, Ward discloses the method of claim 1, wherein the channelization mode determines a quantity of frequency spectrum (**total user bit rate**) allocated for transmission between the user and the base station (**channel coding determines the total user bit rate in a cellular radio system**, see col. 2, lines 33-38).

Regarding claim 3, Ward discloses the method of claim 2, wherein the quantity of frequency spectrum allocated (**total user bit rate**) is for the duration of a particular transmission time slot (**user bit rate is associated with a particular time slot**, see col. 9, Table II).

Regarding claim 12, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above. Ward also discloses the number of frequency slots assigned to the user per time slot (**total data rate**) is further based

on a quality of service associated with the user (**based on the modulation service being applied to user**, see col. 9, Table II).

Regarding claim 13, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above. Tong further discloses the frequency slots comprise multi-carrier signals (Carrier-1, Carrier-2, Carrier-3, see Fig. 1B).

Regarding claim 17, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above. Moisio further discloses the frequency slots are interleaved (see Figs. 5 and 6).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality in Ward and Moisio with the teaching of frequency slots interleaving in Moisio such that the frequency slots are interleaved.

The motivation to do so is to partition the transmission medium in frequency and time in order to maximize the spectral efficiency and to accommodate a wide range of user access rates.

Regarding claim 18, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above. Ward further discloses a maximum possible number of frequency slots assigned to the user per time slot (**total**

**data rate**) is based on the class type of the user (**based on combination type**, see col. 9, Table II).

Regarding claim 19, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above. Ward further discloses the method of claim 18, wherein the maximum possible number of frequency slots assigned to the user per time slot (**total data rate**) is further based on real-time system traffic load between the base station and the plurality of users (**based on the channel quality estimation of each of the radio channels**, see col. 10, lines 37-59).

Regarding claim 20, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above. Ward further discloses the method of claim 18, wherein the maximum possible number of frequency slots assigned to the user per time slot (**total data rate**) is further based on a quality of service associated with the user (**based on the modulation service being applied to user**, see col. 9, Table II).

Regarding claim 21, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above. Ward further discloses the method of claim 10, wherein predetermined frequency slots (**total data rate**) within predetermined time slots (**time slots**) are allocated for transmission with users having a particular class type (**combination type**, see col. 9, Table II).



Regarding claim 23, Ward further discloses the method of claim 1, wherein the transmission link quality between the user and the base station is determined dynamically (see col. 3, lines 39-56).

Regarding claim 24, Ward further the method of claim 1, wherein the transmission link quality between the user and the base station is determined periodically (**the system continuously monitors channel quality both on an uplink and on a downlink**, see col. 3, lines 39-56).

Regarding claim 26, Ward further discloses the method of claim 1, wherein determining a transmission quality comprises estimating an SNR of signal transmission between the base station and the user (**Carrier-to-Interference ratio C/I**, see col. 5, lines 29-45).

Regarding claim 27, Ward further discloses the method of claim 1, wherein determining a transmission quality comprises estimating a PER of data transmitted between the base station and the user (**Bit Error Rate**, see col. 8, lines 24-49).

4. Claims 4-5, 7-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ward et al. in view of Tong and Moisiso, and in further view of Freeburg et al. (USP 5,134,615).

Regarding claim 4, the combined method of Ward and Tong discloses all the aspects of the claimed invention set forth in the rejection of claim 2 above, except fails to explicitly show

the method of claim 2, wherein the allocated frequency spectrum comprises contiguous frequency slots.

However, Freeburg discloses a TDMA system in which its frequency channels are contiguous (see col. 2, lines 11-28 and Fig. 2).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of contiguous frequency channels in Freeburg such that the frequency channels in Ward are contiguous.

The motivation to do so is to provide a continuous range of frequency spectrum to support a greater flexibility in managing the number and type of different communication devices.

Regarding claim 5, the combined method of Ward, Tong, Freeburg discloses all the aspects of the claimed invention set forth in the rejection of claim 2 above. Tong further discloses the frequency slots comprise multi-carrier signals (**Carrier-1, Carrier-2, Carrier-3**, see Fig. 1B).

Regarding claim 7, the combined method of Ward, Tong and Moio discloses all the aspects of the claimed invention set forth in the rejection of claim 2 above, except fails to explicitly show the method of claim 2, wherein the allocated frequency spectrum comprises non-contiguous frequency slots.

However, Freeburg discloses a TDMA system in which its frequency channels are not contiguous (**only channel 2, time slot 2**, see col. 2, lines 11-28 and Fig. 2).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of non-contiguous frequency channels in Freeburg such that the frequency channels in Ward are not contiguous.

The motivation to do so is to accommodate for communication devices that demand less data throughput.

Regarding claim 8, Ward discloses all the aspects of the claimed invention set forth in the rejection of claim 7 above. Tong further discloses the frequency slots comprise multi-carrier signals (**Carrier-1, Carrier-2, Carrier-3**, see Fig. 1B).

5. Claims 6, 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ward et al. in view of Tong, Moiso and Freesburg, and in further view of Chen (US Publication 2005/0059401).

Regarding claim 6, the combined method of Ward, Tong, Moiso and Freesburg discloses all the aspects of the claimed invention set forth in the rejection of claim 4 above, except fails to explicitly show the method of claim 4, wherein the frequency slots comprise single carrier signals.

However, Chen discloses a TDMA system that can employ single-carrier modulation technique (see paragraph 0016).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of using single-carrier modulation in Chen such that the frequency channels of Ward utilizes single-carrier modulation.

The motivation to do so is to allow data transmission in a single frequency band in accordance with the system needs.

Regarding claim 9, the combined method of Ward, Tong, Moio and Freesburg discloses all the aspects of the claimed invention set forth in the rejection of claim 7 above, except fails to explicitly show the method of claim 7, wherein the frequency slots comprise single carrier signals.

However, Chen discloses a TDMA system that can employ single-carrier modulation technique (see paragraph 0016).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of using single-carrier modulation in Chen such that the frequency channels of Ward utilizes single-carrier modulation.

The motivation to do so is to allow data transmission in a single frequency band in accordance with the system needs.

6. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ward et al. in view of Tong and Moisio, and in further view of Chen et al. (US Publication 2005/0059401).

Regarding claim 14, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above, except fails to explicitly show the method of claim 1, wherein the frequency slots comprise single carrier signals.

However, Chen discloses a TDMA system that can employ single-carrier modulation technique (see paragraph 0016).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of using single-carrier modulation in Chen such that the frequency channels of Ward utilizes single-carrier modulation.

The motivation to do so is to allow data transmission in a single frequency band in accordance with the system needs.

7. Claims 11, 15, 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ward et al. in view of Tong and Moisio, and in further view of Freeburg et al. (USP 5,134,615).

Regarding claim 11, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above, except fails to disclose the number of frequency slots assigned to the user per time slot is further based on real-time system traffic load between the base station and the plurality of users.

However, Freeburg discloses a different number of frequency channels can be dynamically allocated to mobile station per time slot (see col. 2, lines 11-44 and Fig. 2).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of assigning different number of frequency channels per time slot in Freeburg.

The motivation to do so is to increase the flexibility in accommodating different TDMA devices and different data throughput requirements.

Regarding claim 15, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above, except fails to explicitly show the method of claim 1, wherein the allocated frequency spectrum comprises contiguous frequency slots.

However, Freeburg discloses a TDMA system in which its frequency channels are contiguous (see col. 2, lines 11-28 and Fig. 2).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality of Ward with the teaching of contiguous frequency channels in Freeburg such that the frequency channels in Ward are contiguous.

The motivation to do so is to provide a continuous range of frequency spectrum to support a greater flexibility in managing the number and type of different communication devices.

Regarding claim 16, the combined method of Ward, Tong, and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above, except fails to explicitly show the method of claim 1, wherein the allocated frequency spectrum comprises non-contiguous frequency slots.

However, Freeburg discloses a TDMA system in which its frequency channels are not contiguous (**only channel 2, time slot 2**, see col. 2, lines 11-28 and Fig. 2).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality in Ward with the teaching of Freeburg in using non-contiguous frequency channels such that the frequency channels in Ward are not contiguous.

The motivation to do so is to accommodate for communication devices that demand less data throughput.

8. Claims 25 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ward et al. in view of Tong et al. and Moisio et al., and in further view of Flynn (USP 5,870,685).

Regarding claim 25, the combined method of Ward and Tong discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above, except fails to explicitly show the method of claim 1, wherein the transmission link quality between the user and the base station is determined when the user is powered up.

However, Flynn discloses that when a mobile station is powered up, it will search control channels in order to find a control channel with good reception quality (see col. 2, lines 26-44).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality in Ward with the teaching of Flynn in determining channel link quality when the mobile station is powered up.

The motivation to do so is for the mobile station to find the best radio channel upon powering up and remains tuned to this channel until the quality deteriorates.

9. Claims 28-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ward et al. in view of Tong et al. and Moisio et al., and in further view of Chuah (USP 6,115,390).

Regarding claim 28, the combined method of Ward, Tong and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 1 above, and each of the plurality of users are assigned a class type (see col. 9, Table II), except fails to explicitly show the method of claim 1, and the MAC assigns frequency slots to users having a common class type according to a round robin scheduling scheme.

However, Chuah discloses a base station scheduler that schedules bandwidth to users based on a round-robin algorithm (see col. 30, lines 32-53).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality in Ward with the teaching of Chuah in scheduling bandwidths to users based on a round-robin algorithm.

The motivation to do so is to provide a method to efficiently resolve conflicts between mobile users competing for the limited bandwidth available in a wireless network.



Regarding claim 29, the combined method of Ward, Tong and Moisio discloses all the aspects of the claimed invention set forth in the rejection of claim 11 above, and each of the plurality of users are assigned a class type (see col. 9, Table II), except fails to explicitly show the method of claim 1, and the MAC assigns frequency slots to users having different class types according to a round robin scheduling scheme.

However, Chuah discloses a base station scheduler that schedules bandwidth to users based on a round-robin algorithm (see col. 30, lines 32-53).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the dynamic user bit rate allocation of a TDMA system based on the channel quality in Ward with the teaching of Chuah in scheduling bandwidths to users based on a round-robin algorithm.

The motivation to do so is to provide a method to efficiently resolve conflicts between mobile users competing for the limited bandwidth available in a wireless network.

#### ***Response to Arguments***

10. Applicant's arguments filed on 6/27/2008 with respect to claims 1-9, 11-29 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Mew whose telephone number is 571-272-3141. The examiner can normally be reached on 9:00 am - 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chi Pham can be reached on 571-272-3179. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/K. M./  
Examiner, Art Unit 2416

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2416  
10/10/08